

# Using age, arterial lactate level and sequential organ failure assessment score in risk stratification of sepsis syndromes

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## ABSTRACT

**Introduction:** In low income countries, ICU places are limited and not all sepsis patients will benefit from ICU admission. Stratification is an important step to identify patients who require ICU treatment from patients who can be treated on general ward setting. Improper stratification results in increased length of stay, costs, morbidity and mortality. **Objective:** The aim of this study was to stratify the risk of mortality in patients with sepsis syndrome using age, arterial lactate level and SOFA score. **Methods:** In this prospective observational study, 250 patients with sepsis were enrolled and followed up until discharge. They were categorized into 2 groups according to 7-days mortality. **Results:** SOFA score ( $\geq 5$ ) was the only good tool (AUC=0.722) while age ( $\geq 65$  years) (AUC=0.650) and arterial lactate ( $\geq 3.25$  mmol/L) (0.690) were fair tools to predict 7-days mortality. A new score “ALSOFA score” ( $\geq 10$ ) was an excellent tool for prediction (AUC =0.912, 95%CI: 0.851 to 0.940,  $p < 0.0001$ ). It showed an excellent sensitivity (90.9%) and specificity (85.1%). **Conclusion:** In critically ill patients with sepsis syndromes, age, arterial lactate and SOFA score are fair tools of stratification. No single marker/score can be used alone to stratify such patients.

**Keywords:** Emergency, Critical, Sepsis, SOFA, Arterial Lactate, Stratification

### Trial Registration

Alexandria University, IRB No: 00007589  
FWA No: 00015712

## INTRODUCTION

Sepsis is officially defined as “a dysregulated host response to an infection, causing life-threatening organ dysfunction”. (1) The whole world mortality rates are up to 40% for sepsis and 70% for septic shock. (2) Good primary care, source control, early antibiotic and adequate critical care provision are essential for good prognosis in low income countries. (3)

In these low income countries, ICU places are limited and not all sepsis patients will benefit from ICU admission. Stratification is an important step to identify patients who require ICU treatment from patients who can be treated on general ward setting. Improper stratification results in increased length of stay, costs, morbidity and mortality. (4, 5)

There are multiple tools to stratify patients with sepsis. They include clinical judgement, scoring systems, clinical judgement, or using sepsis categories as defined by the Surviving Sepsis Campaign.(5) , which is not as accurate as clinical judgement or scoring systems. (6)

The most applicable scoring systems are the “Predisposition, Infection, Response and Organ dysfunction” (PIRO) score (7), the “Mortality in Emergency Department Sepsis” (MEDS) score (8), the “Mortality In Severe Sepsis in the Emergency Department” (MISSED) score (9) and the well-known SOFA (10) and qSOFA scores. (5) The elderly are always at higher risk for sepsis due to multiple comorbidities. In older patients, intact skin and other physiological reflexes that contribute to the physical defense mechanisms to infections may degrade. Also, implanted devices and surgical procedures may contribute to that. (11)

Serum lactate level is extensively evalu-

ated. Arterial lactate is not well studied although it is easy to obtain during routine ABG analysis with low cost. Arterial and serum lactate levels were compared in few studies. Recent studies showed the role of arterial lactate in early diagnosis of sepsis in pediatrics. Adult studies usually advise not to mix their values. (12) The aim of this study was to stratify the risk of mortality in patients with sepsis syndromes using age, arterial lactate level and SOFA score.

## METHODS

After approval of the Medical Ethics Committee of Alexandria Faculty of Medicine, all adult patients who were admitted to the Critical Care Medicine department, Alexandria Main University Hospital for 6 months (from the 1st of January 2018 to the 30th of May 2018) with the diagnosis of sepsis (according to 2016 consensus definition (Sepsis-3) using quick SOFA) were assessed for enrollment. Pregnant, trauma and immunocompromised patients (cancer or patients on immunosuppressive drugs) were excluded.

All enrolled patients were subjected directly (at admission) to the followings; complete history taking, physical examination, laboratory investigations and complete sepsis workup. All enrolled patients received the standard treatment for management of sepsis. The protocol of treatment was not changed during the study time. The primary outcome was 7-days mortality.

### Statistical Methods

Data were fed to the computer and analyzed using IBM SPSS software package version 24.0. Qualitative data were described using number and percent. Quantitative data

were described using range (minimum and maximum), mean, standard deviation and median. Significance of the obtained results was judged at the 5% level. Chi-square test was used for categorical variables, to compare between different groups. Fisher's Exact or Monte Carlo correction for chi-square was used when more than 20% of the cells have expected count less than 5. Student t-test was used for normally quantitative variables. Mann Whitney test was used for abnormally quantitative variables.

## RESULTS

In this prospective observational study, 250 patients were enrolled. Then, they were categorized into 2 groups according to the primary endpoint "7-days mortality". Seventy-seven patients (30.8%) were died (non-survivors group) and another 173 patients (69.2%) were survived (survivors group).

Regarding baseline characteristics, the percentages of males (52.8%) and females (47.2%) were comparable. Pneumonia was

the most common suspected source of sepsis (42%). Mean age was 63.37 years. Non-survivors showed significantly higher mean age (69) than survivors (60.86) ( $p < 0.001$ ). Non-survivors showed significantly higher SOFA score (7.96) than survivors (5.73) ( $p < 0.001$ ). The median of arterial lactate of all patients was 4 mmol/L. Non-survivors were presented with significantly higher median of arterial lactate (5.0) than survivors (3.2) ( $p < 0.001$ ). (Table 1)

Overall median ICU LOS was 6 days. Patients with septic shock showed compara-

Table 1. Baseline Characteristics of all enrolled patients

	Total (n = 250)		Survivors (n = 173)		Non-survivors (n = 77)		p value
	No.	%	No.	%	No.	%	
Male	132	52.8	91	52.6	41	53.24	1.000
Female	118	47.2	82	47.39	36	46.75	
Age (years)	63.37 ± 13.71		60.86 ± 14.57		69.00 ± 9.44		<0.001*
Hypertension	85	34	55	31.8	30	39.0	0.166
Diabetes	85	34	56	32.4	29	37.7	0.470
Hepatic	45	18	30	17.3	15	19.5	0.723
Stroke	23	9.2	15	8.7	8	10.4	0.643
Renal	42	16.8	32	18.5	10	13.0	0.360
Ischemic	21	8.4	13	7.5	8	10.4	0.465
Chronic Afib	38	15.2	22	12.7	16	20.8	0.126
COPD	7	2.8	4	2.3	3	3.9	0.680
Miscellaneous	5	2	2	1.2	3	3.9	0.172
SOFA score	6.42 ± 2.852		5.73 ± 2.554		7.96 ± 2.895		<0.001*
APACHE II	23.04 ± 6.40		20.90 ± 5.65		27.86 ± 5.33		<0.001*
Cellulitis	14	5.6	9	5.2	5	6.5	0.767
Pneumonia	105	42	79	45.7	26	33.8	0.096
UTI	41	16.4	28	16.2	13	16.9	1.000
DFI	11	4.4	6	3.5	5	6.5	0.321
SBP	23	9.2	13	7.5	10	13.0	1.000
Abdomen	12	4.8	7	4.1	5	6.5	0.705
CRBSI	21	8.4	10	5.8	11	14.3	0.054
Mixed	23	9.2	10	5.8	13	16.9	0.236
SBP (mmHg)	73.68 ± 31.42		82.66 ± 27.59		53.51 ± 30.25		<0.001*
DBP (mmHg)	43.98 ± 22.0		50.20 ± 20.10		30.0 ± 19.76		<0.001*
HR (beats/min)	114.1 ± 20.39		110.8 ± 20.86		121.7 ± 17.14		<0.001*
Temp. (°C)	38.11 ± 0.940		38.22 ± 0.911		37.87 ± 0.969		0.036*
RR (breath/min)	26.96 ± 7.221		25.88 ± 6.42		29.38 ± 8.30		0.001*
WBCs × 10 <sup>9</sup> /L	16.7 ± 9.08		16.18 ± 8.47		17.9 ± 10.25		0.207
CRP (mg/L)	109.86 ± 62.54		99.43 ± 55.91		133.3 ± 70.27		<0.001*
Urea (mg/dL)	104.48 ± 77.57		95.98 ± 74.08		123.60 ± 82.21		0.001*
S.Cr (mg/dL)	2.46 ± 2.29		2.35 ± 2.43		2.71 ± 1.94		0.003*
24h-UOP (mL/hr)	39.95 ± 26.63		44.26 ± 27.47		30.26 ± 21.85		<0.001*
GCS	12.42 ± 2.377		12.69 ± 2.393		11.82 ± 2.240		0.001*
Lactate (mmol/L)	4.76 ± 2.892		4.18 ± 2.692		4.76 ± 2.924		<0.001*

COPD: chronic obstructive pulmonary disease, UTI: urinary tract infection, DFI: Diabetic Foot Infection, SBP: Spontaneous Bacterial Peritonitis, CRBSI: Catheter-Related Blood Stream Infection. Abdomen sources includes perforated gut and cholangitis. SBP: Systolic Blood Pressure, DBP: Diastolic Blood Pressure, HR: Heart Rate, RR: Respiratory rate, Temp: surface body Temperature. S.Cr: Serum Creatinine, UOP: Urine Output, GCS: Glasgow Coma Scale. \*p is significant when  $p \leq 0.05$

Table 2. Agreement (sensitivity, specificity) of study stratification tools to predict 7-days mortality

	AUC	p value	95% C.I		Cut off	Sensitivity	Specificity	PPV	NPV
			LL	UL					
Age (years)	0.650	<0.0001*	0.578	0.721	≥65	84.4	37.8	37.6	84.4
Arterial lactate(mmol/L)	0.690	<0.0001*	0.617	0.763	≥3.25	80.5	51.4	42.5	85.6
SOFA Score	0.722	<0.0001*	0.653	0.791	≥5	83.1	53.8	44.4	87.8
ALSOFA score	0.912	<0.0001*	0.851	0.940	≥10	90.9	85.1	53.4	94.1

AUC: Area Under Curve, C.I: Confidence Interval, PPV: Positive Predictive Value, NPV: Negative Predictive Value

Table 3. The calculation of ALSOFA score

Points	1	2	3	4	5
Age (years)	18 to <35	35 to <50	50 to <55	55 to <60	≥60
Arterial lactate (mmol/L)	< 3	3: <4	4: <5	5: <6	≥6
SOFA Score	< 2	2 - 3	4 - 5	6 - 7	>7

Table 4. ALSOFA score in prediction of mortality

ALSOFA score	7-days mortality	28-days mortality
13 - 15	66 - 90%	87 - 95%
10 - 12	15 - 47%	59 - 80%
10	< 15%	< 59%
p value	< 0.0001*	< 0.0001*
Exp (β)	2.191	1.667
95%CI	1.827 : 2.627	1.443 : 1.926

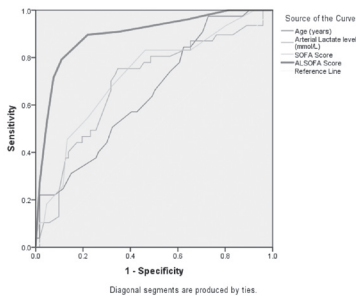


Figure 1. Receiver Operating Characteristics (ROC) curve of study stratification tools to predict 7-days mortality

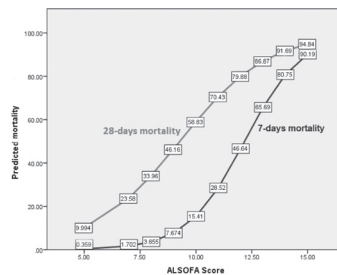


Figure 2. The prediction of mortality using ALSOFA score

ble median of LOS (5 days) with patients with sepsis (8) (p=0.081). Overall 28-days mortality rate was 59.2%. Patients with septic shock showed comparable mortality (66.7%) with patients with sepsis (55.2%) (p=0.105).

After plotting the receiver operating characteristics (ROC) curve for the study stratification tools to predict 7-days mortality of all patients (Table 2) (Figure 1), SOFA score (≥5) was the only good tool (AUC=0.722) while age (≥65 years) (AUC=0.650) and arterial lactate (≥3.25 mmol/L) (0.690) were fair tools to predict

7-days mortality. A new score was implemented using these 3 tools. This score ranges from 3 to 15 points. It was arbitrarily mentioned as “ALSOFA score: Age, Lactate and SOFA score”. It was calculated for all enrolled patients. (Table 3)

ALSOFA score (≥10) was an excellent tool to predict 7-days mortality (AUC=0.912). After logistic regression analysis, as ALSOFA score increases by one point the odds ratio (OR) of 7-days mortality increases by 2.191 (68.66% increased risk) (p<0.0001). (Table 4) (Figure 2)

## DISCUSSION

In this study, age ≥65 years was a fair tool to predict 7-days mortality (AUC= 0.650, 95% CI: 0.578 to 0.721, p<0.0001). De Groot et al., investigated whether the prognostic utility of the most common severity scores were appropriate for risk stratification of older (≥70 years) septic patients (≥70 years). In-hospital mortality was 9.5% (95%CI: 7.4 to 11.5) in older patients, and 4.6% (95%CI: 3.6 to 5.7) in younger patients. In older patients, disease severity scores were associated poorly with mortality (AUC= 0.56 to 0.64). (13)

Warmerdam et al., showed that mortality in patients with infections was 9.2% (95%CI: 7.3 to 11.2) in patients ≥70, twice as high as the 4.6% (3.6-5.6) in patients <70 years. (14) Ginde et al., showed that older adults (≥65 years) with severe sepsis, compared with younger adults, had modestly higher rate of mortality (24% vs 16%). (15) Yang et al., showed that age (OR, 8.46; aged 85 years and older versus aged 18-54 years old) was significant and independent predictor of hospital mortality. (16) Martin et al., showed that age was an independent predictor of mortality in an adjusted multi-

variable regression (OR, 2.26; 95%CI: 2.17 to 2.36). Elderly sepsis patients died earlier during hospitalization. (17)

In this study, arterial lactate level  $\geq 3.25$  mmol/L was a fair tool to predict 7-days mortality (AUC =0.690, 95% CI: 0.617 to 0.763,  $p < 0.0001$ ). In critical care units, lactate is routinely used for risk stratification. Whether venous or arterial lactate measured on blood gas is interchangeable is not known. To our knowledge, no studies was conducted to stratify sepsis patients according to their initial arterial lactate levels. But, Paquet et al., showed that both methods presented similar performances for the prediction of poor prognosis (AUC= 0.67). (18)

Recently, Theerawit et al., showed that arterial and venous lactate levels were strongly correlated ( $r = 0.934$ ,  $p < 0.0001$ ,  $r_2 = .873$ ). (19) Also, Datta et al., showed that the mean difference between them was 0.4 mmol/L [95%CI: 0.37 to 0.45], with 95% limits of agreement from -0.4 (95%CI: -0.45 to -0.32) to 1.2 (95%CI: 1.14-1.27). Also, venous level less than 2 mmol/l was predictive of an arterial level less than 2 mmol/l. (20)

In Diao et al. study, arterial lactate 1.7 mmol/L was a good tool to predict mortality (AUC= 0.805) with a good sensitivity (79.1%). (21)

In this study, SOFA score  $\geq 5$  was a good tool to predict 7-days mortality (AUC= 0.722, 95% CI: 0.653 to 0.791,  $p < 0.0001$ ). Innocenti et al., showed that SOFA score was associated with a moderate prognostic

stratification ability. (22)

In contrast to these findings, Garcia-Villalba et al., showed that SOFA score was an inadequate prognostic tool in patients at low risk of organ damage. Results showed that other clinical and analytical variables are required to improve the prognostic utility of SOFA score. (23)

Macdonald et al., (2014) showed that PIRO score (AUC=0.86 (95%CI: 0.80 to 0.92)) and MEDS (AUC=0.81 (95%CI: 0.74 to 0.88)) were better predictor of mortality than SOFA score (AUC=0.78 (95%CI: 0.71 to 0.87)) (24)

In this study, the new stratification tool was arbitrarily mentioned ALSOFA score. ALSOFA score  $\geq 10$  was an excellent tool to predict 7-days mortality (AUC =0.912 (95%CI: 0.851 to 0.940)) ( $p < 0.0001$ ). It showed excellent sensitivity (90.9%), specificity (85.1%), PPV (53.4%) and NPV (4.1%).

Bewersdorf et al., showed that a new score (The SPEED, Sepsis Patient Evaluation in the Emergency Department) was a very good tool to predict 28-day mortality in septic patients (AUC= 0.81 (95%CI: 0.75 to 0.86)) in the derivation and (AUC=0.81 (95%CI: 0.73 to 0.90)) in the validation set. (25)

## LIMITATIONS

This study has some limitations, as small sample size and monocentric design. The study design is liable for multiple con-

founding factors. The primary outcome is 7-days all-cause mortality. We acknowledge that these finding cannot be directly extrapolated to other health care facilities.

## CONCLUSION

In critically ill patients with sepsis syndromes, age, arterial lactate and SOFA score are fair tools of stratification. No single marker/score can be used alone to stratify such patients. Further larger studies should be conducted validate new scores. Simple, available and cheap markers should be used in such scores otherwise scoring systems should not be used as hard criteria for ICU admission.

## DECLARATIONS

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*Availability of data and materials*  
Please contact author for any data requests.

*Competing interests*  
The author declares that there are no competing interests

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